

## The 24<sup>th</sup> Asia Export Control Seminar Strategic Trade Management in ASEAN Awareness and Outreach

George Tan 21<sup>st</sup> ~23<sup>rd</sup> Feb 2017 Tokyo, Japan



**Overview of STM in ASIA & ASEAN** 

### **Overview of Asia STM Regimes**



Country	Membership To Multilateral Regimes	Responsible Unit	Dual-Use STC		Defense & homeland security	
			Legislation	Enforcement	Legislation	Enforcement
Philippines	None	STMA/DTI	Yes	2017/2018?	Yes	Yes
Thailand	None	DFT/MoC (TMD)	Partial	1 Jan 2018	Yes	Yes
Vietnam	None	MOIT/Customs	No	No	Yes	Yes
Indonesia	None	DFI/DFT/Customs (?)	No	No	Yes	Yes
Japan	All	METI	Yes	Yes	Yes	Yes
Korea	All	MOITE	Yes	Yes	Yes	Yes
China	NSG	MOFCOM	Yes	Yes	Yes	Yes
HKG	None	TID	Yes	Yes	Yes	Yes
Taiwan	None	MOEA/BOFT	Yes	Yes	Yes	Yes
Singapore	None	MTI/Sg Customs	Yes	Yes	Yes	Yes
Malaysia	None	MITI	Yes	Yes	Yes	Yes
India	MTCR	Directorate General of Foreign Trade	Yes	Yes	Yes	Yes
Pakistan	None	MOFA	Yes	Yes	Yes	Yes
Australia	All	Defence Export Control Office	Yes	Yes	Yes	Yes
New Zealand	All	MOFAT	Yes	Yes	Yes	Yes

Multilateral Regimes: NSG, MTCR, AG, WA

Updated on 31st Dec 2016

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#### **ASEAN STM Overview**



Country	STM Status	Responsible Unit	Dual-Use STC		Defense & homeland security	
			Legislation	Enforcement	Legislation	Enforcement
Singapore	Α	MTI/S'pore Customs	Yes	Yes	Yes	Yes
Malaysia	Α	MITI	Yes	Yes	Yes	Yes
Philippines	В	STMA/DTI	Yes	(2017/2018?)	Yes	Yes
Thailand	В	TMD - MoC (DFT)	Partial	1 Jan 2018	Yes	Yes
Vietnam	С	MOIT/Customs (?)	No	No	Yes	Yes
Indonesia	С	DFI/DFT/Customs (?)	No	No	Yes	Yes
Cambodia	D	?	No	No	Yes	Yes
Laos	D	?	No	No	Yes	Yes
Brunei	D	?	No	No	Yes	Yes
Myanmar	D	5	No	No	Yes	Yes



#### To begin with...especially for ASEAN

# Government and Industry both need to raise Awareness and Outreach

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**Awareness and Outreach Approach** 



For the past years; besides agency own initiative...

Government

Support received from

Industry

UNSCR1540 Committee

International Export Control Regimes

US/EU/Japan

NGO/NPO/Institutions

#### **General Awareness and Outreach Program**



Topics...

Government

**Basic Knowledge on Export Controls** 

What is Strategic Goods & Identification

**Technology Management** 

Basic Operation Know How:-Licensing Review & Assessment

**Basic Operation – Enforcement** 

**Basic Operation - Industry Outreach** 

**Basic Operation - Audit** 

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#### **Awareness and Outreach Approach**



Topics...

**Industry** 

**Introduction to Export Control** 

**Legislation & Regulatory requirements** 

**License Application & Trade Scheme** 

**How to Set Up Internal Compliance Program** 

**Sharing of Company ICP** 

**Other Export Control Regimes Introduction** 

Violation & Technology Management

#### **Awareness and Outreach Approach**



#### Frequency...

Government

Pretty high frequency in the initial set up stage within the agency as well as inter-agency

Once the regime is more established, lesser frquency

**Industry** 

Initial awareness mainly co-organized with other advanced regimes

When the agency is ready, especially in the implementation stage, frequency is high

Once the system is stabilized, lesser or focus on sectorial basis

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#### **Awareness and Outreach Approach**



#### Government **Industry** Conducting... Open & In-house **Closed Seminar** Seminar **Closed Workshop In-house Workshop Roundtable Discussion Roundtable Discussion** 1-1 Consultation Methodology 1-1 Consultation Attend In-house In-house **Training/Seminar Training/Seminar Web-Sites Web-Sites** Magazines Newsletter

#### **Awareness and Outreach Approach**



What they need...

Government

**Industry** 

Export Control Knowledge (101 -> in depth)

**Legislation Know How/Recommendation** 

**Enforcement (In depth)** 

**Basic Knowledge on Export Controls** 

**Internal Compliance Program Know How** 

**Implementation Know How** 

**Establish Export Control Culture** 

**Both Government & Industry Require Awareness and Outreach Program** 

#### **Thank You**







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#### Contact





#### **George SC Tan (Principal)**

**Global Trade Security Consulting Pte Ltd "GTSC"** 

- georgetansc@sg-gtsc.com
- www.sq-qtsc.com
- Mobile: +65 9818 9733



#### **George Tan**

- President
- Centre for Asia Pacific Trade Compliance and Information Security
- georgesc.tan@captcis.com
- www.captcis.com
- Mobile: +65 9819 9733